

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Clifford J. Weber *et al.*

Appl. No.: 10/753,069

Filed: January 8, 2004

For: **SYSTEMS AND METHODS FOR  
TRADING ACTIVELY MANAGED  
FUNDS**

Art Unit: 4194

Examiner: TBA

Confirmation No.: 5428

Atty. Docket: 00322.0008.CPUS01

Customer Number: 22930

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

***Mail Stop Amendment***

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form SB/08a be considered by the Examiner and made of record.

Certain documents listed on the attached Form SB/08a are U.S. Patents or U.S. Patent Application Publications; therefore, no copies of these documents are included with this submittal, in accordance with the Waiver issued by the Office of Patent Legal Administration on July 11, 2003.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

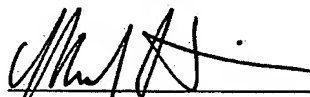
This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, therefore no statement under 37 C.F.R. § 1.97(e) or fee is required.

Applicants believe no fees are due in connection with this transmittal; however, if any fees are in fact due, the Commissioner is hereby authorized to charge our Deposit Account No. 08-3038. Kindly make reference to Howrey Docket No. 00322.0008.CPUS01 when debiting this account.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO/SB/08a, and to indicate in the official file wrapper of this patent application that the documents have been considered.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Michael J. Stimson, Reg. No. 45,429

Date: June 26, 2008

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